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SAMUEL WAXMAN CANCER RESEARCH FOUNDATION

ANNUAL REPORT 2012





SAMUEL WAXMAN

CANCER RESEARCH FOUNDATION

ANNUAL REPORT 2012

The Samuel Waxman Cancer Research Foundation is an international organization dedicated to curing and preventing cancer. The Foundation is a pioneer in cancer research, focusing on uncovering the causes of cancer and reprogramming cancer cells. We dedicate ourselves to delivering tailored, minimally toxic treatments to patients. Our mission is to eradicate cancer by bridging the gap between lab science and the patient. Through our collaborative group of world-class scientists, the Institute Without Walls, investigators share information and tools to speed the pace of cancer research. Since our inception in 1976, The Foundation has awarded more than \$80 million to support the work of more than 200 researchers across the globe.

OUR VISION

For 36 years, the Samuel Waxman Cancer Research Foundation has been a pioneer in tackling cancer at its root, designing treatments to correct abnormal genes that cause cancer. Our mission is twofold: to deliver less toxic treatments and to bring faster cures to patients. Enhancing the quality of life for cancer survivors is an important part of the research that we fund, which is why our scientists are looking at the biology of the disease and investigating ways to stop cancer from spreading.

Although current treatment options like chemotherapy and radiation are effective against some cancers, they are not effective against all cancers. Indeed, the harsh treatment options today can leave many survivors with long-term survivorship issues. The Waxman Foundation believes there must be a better way.

Our funded investigators include some of the best scientific minds in cancer research. We support ongoing multi-disciplinary innovative research projects by highly-respected teams of scientists who like to think out-of-the-box in solving a problem. Because their proposals are often considered early “high risk” by institutions like the National Cancer Institute, these brilliant researchers might not receive funding for their new approaches to kill cancer cells. This is limiting innovative research more now than ever due to cutbacks in government funding. Cancer scientists are placed in a defensive position.

The good news is that the Waxman Foundation has taken risks to invest in these researchers, many of whom have gone on to leverage their initial Waxman Foundation seed grant to secure millions of dollars from the National Cancer Institute – which deemed their projects too risky in the first place. Ironic? Perhaps. But this is the unique niche that the Foundation fulfills in cancer research. We’ve been doing it for 36 years and are very proud of what we have been able to accomplish together with your help. Thank you for joining us as we envision a world without cancer.



MICHAEL NIERENBERG



SAMUEL WAXMAN, M.D.



THOMAS A. CONWAY

Michael Nierenberg
Chairman

Samuel Waxman
Founder & Scientific Director

Tom Conway
President & Treasurer



January 1, 2012

SWCRF invites cancer centers across the U.S. to apply for research grants

February 3, 2012

Marcia and David Lavipour and their niece Jennifer Schwab Yanowitz host the Quest to Cure CML, raising nearly \$40,000



March 15, 2012

Deadline to submit new grant proposals

April 1, 2012

Deadline to submit continuing grant proposals

YEAR IN REVIEW



May 15 & 16, 2012

Annual Scientific Review was held in New York and attended by 50 scientists from across the globe

May 16, 2012

The Scientific Symposium was held at the Alexandria Center giving donors the opportunity to meet the scientists, learn more about where their money goes and the research undertaken



June 25, 2012

72 golfers gather at Old Oaks Country Club, despite the rainy day, and raised over \$110,000



August 11, 2012

The 8th Annual Hamptons Happening honored Jean-Georges and raised over \$250,000

October 20 - 24, 2012

The 13th International Conference on Differentiation Therapy: Differentiation Therapy and Advances in Cancer in Suzhou, China

November 4, 2012

Although the ING NYC Marathon was canceled, Team Waxman still raised over \$35,000 for the Foundation



November 15, 2012

Collaborating for a Cure's 15th Annual Gala honored Dale and Peter Claman and Carmen Marc Valvo. We brought back a few of our original collaborators, Warren Haynes and Gregg Allman along with friend Peter Frampton, and raised over \$3 million





YOUR INVESTMENT IN WHAT WE DO

The SWCRF funds cancer research projects that have promise to make tangible advances against the cancer problem that sadly will be diagnosed in half of the men and a third of the women in the United States. Our research impacts all types of cancer. Through the annual rigorous scientific review, and with your financial support, we support critical research by leading scientists. How is the SWCRF furthering cancer research?

- We finance individual grants to enable innovative researchers to uncover new strategies to combat cancer.
- We organize an Institute without Walls to enable scientific teams to work more effectively and rapidly.
- We foster collaboration among scientists across the globe in diverse disciplines. Their collaboration is made possible through the support of our donors.
- We support “high risk” research that holds great promise to move from the laboratory bench to the cancer clinic.
- We support researchers in epigenetic therapy who will reprogram the cancer cell to behave like a normal cell.
- We supported research that led to the first successful example of differentiation therapy of a lethal malignancy, acute promyelocytic leukemia (APL). This has resulted in a unique collaboration with the Shanghai Institute of Hematology in China which has led to the cure of APL through differentiation therapy.
- We now want to build on this leukemia success to find ways to treat common cancers that are too often diagnosed in our society.

OUR RESEARCH is designed to create less toxic therapies for children through aging population. We have made great strides in cancer research for 36 years. We now want to do even more to reduce the cancer burden. To accomplish this, we must support at an even higher level those scientists selected by our competitive review process to receive our funding. This must be done as federal support for cancer research is being reduced. To learn how important our support is for our grantees, read their testimonials that follow.

BRAIN CANCER

Albert S. Baldwin Jr., Ph.D., *University of North Carolina, Chapel Hill*

Mark Lemmon, Ph.D., *University of Pennsylvania*

Kevan Shokat, Ph.D., *University of California, San Francisco*

William Weiss, M.D., Ph.D., *University of California, San Francisco*

Most drugs used to treat cancer do not work well in brain cancer. For example, in lung cancer, therapies that inhibit the receptor for one growth factor called the epidermal growth factor receptor (EGFR) can improve survival. Yet, these same drugs are ineffective in brain cancers, even though those cancers are dependent upon the same growth factors. Our work is supported by the SWCRF to find the basis for these different clinical responses. We hope that our research will lead to improved ways to treat all EGFR-driven cancers, including brain cancer.

CHILDHOOD AND YOUNG ADULT CANCER

John Crispino, Ph.D., *Northwestern University*

Our research is focused on identifying new therapies for leukemia. Our goal is to cure a rare leukemia, named acute megakaryoblastic leukemia. This is a cancer in which megakaryocytes, the cells that make platelets, grow excessively and fail to make platelets. With the support of the SWCRF, we have identified several small molecules that promote maturation of these abnormal cells and thus act as “differentiation therapy”. We are working with the SWCRF to develop these molecules into a new treatment for this leukemia. SWCRF also supports our efforts to better understand pediatric B-cell leukemia and to develop improved therapies for this type of cancer. Without the support of the SWCRF, our collaborative research to develop new cures for leukemia would not be possible. Thank you for the support of the Foundation!

Shai Izraeli, M.D., *Tel Aviv University*

One of our Leukemia discoveries (the JAK2-CRLF2-Interleukin 7 receptor mutations) has already led to clinical trials with newer drugs (JAK inhibitors). Another recent discovery is of a gene (ERG) whose increased expression converts normal blood stem cells into malignant leukemia stem cells. We now aim to decipher the precise mechanism by which ERG redirects normal into leukemic stem cells.

ALL CANCERS

Robert A. Weinberg, Ph.D., *Whitehead Institute for Biomedical Research*

Recent research has revealed that human tumors are often composed of small subpopulations of cancer cells exhibiting especially potent powers to invade, metastasize and find new tumors elsewhere in the body. These cancer stem cells (CSCs) also exhibit heightened powers to resist conventional therapies, generating residual disease after treatment and subsequent therapeutic relapse. Our work under the auspices of the SWCRF focuses on discovering signaling pathways that can be perturbed within CSCs, causing such cells to exit from the CSC state and undergo conversion into non-CSCs, thereby rendering them far more susceptible to conventional forms of therapy. If successful, such treatments should prove to be highly effective approaches in concert with conventional treatments, to yield durable clinical responses with tumors that hitherto have proven resistant to available treatments.

LYMPHOMA

Alexander MacKerrell, Ph.D., *University of Maryland*

Ari Melnick, M.D., *Weill Cornell Medical Center*

Gilbert Privé, Ph.D., *University of Toronto*

Research in our lab focuses on computer-aided drug design (CADD) methods for the discovery of new cancer drugs. Studies have targeted the development of inhibitors of gene expression that can overcome abnormal growth of cancer cells. One such inhibitor is called BCL6. Our work has led to the discovery of compounds with the potential to be developed into novel drugs to treat Diffuse Large B-cell Lymphoma (DLBCL). Our work has also led to the development of a new technology called CADD technology, Site-Identification by Ligand Competitive (SILCS) that will facilitate the design of drugs.

LIVER CANCER

Paul B. Fisher, Ph.D., *Virginia Commonwealth University*

Devanand Sarkar, MBBS, Ph.D., *Virginia Commonwealth University*

The SWCRF provides a critical service to the cancer research community. Its unique Institute without Walls requires scientists to collaborate and share their findings well before they publish their results. In this way, the Foundation supports some of the most promising areas of cancer research that have the potential for high reward. Indeed, SWCRF discoveries have already produced remarkable results in differentiation therapy of leukemia. This is one of the most notable successes in what is known as “bench to bedside research”. The Foundation is broadening its reach into the area of epigenetic therapy and this area holds great promise to improve cancer care. I have been very fortunate to be involved with the Foundation for over 30 years. I am grateful for this support that made my discoveries possible. Working with Devanand Sarkar, we have found new ways to regulate a protein that can restore normal gene expression and thereby treat late-stage hepatocellular (liver) carcinoma (HCC).

OVARIAN CANCER

Benjamin G. Neel, M.D., Ph.D., *Ontario Cancer Institute*

Garry P. Nolan, Ph.D., *Stanford University School of Medicine*

Ovarian cancer is the leading cause of gynecological morbidity/mortality. Current therapies significantly increase survival, but the vast majority of patients (70-90%) recur within five years and die of their disease. More effective therapies are needed to eliminate resistant cell populations. A small restricted population has the ability to initiate and sustain tumor growth are also resistant to current therapy. In much the same way as a weed cannot be eliminated only by removing its leaves, it is necessary to kill the “root” of the tumor, the “tumor-initiating cells (TIC)”. We are using a novel approach to rapidly identify TIC markers. We surveyed a large number (~400) of candidate markers to find those that can be used to purify TIC. Finally, we are using previously unrecognized technology called mass cytometry to find markers for the self-renewal/stem cell-like properties. Our results could lead to a general approach to diagnose and treat TIC, the root cause of ovarian and other types of cancer. Revolutionary technology is being developed by Garry Nolan which can be used in the early diagnosis of TIC.

LIVER CANCER

Josep M. Llovet, M.D., *Mount Sinai School of Medicine*

The SWCRF has been funding our Liver Cancer Program during the last 10 years. Liver cancer is the 3rd leading cause of cancer-related death globally (750,000 cases/yr.). By leading a broad network of international collaborators we have already reached these goals in our liver cancer hepatocellular research program: (A) established a clinically relevant molecular classification system capable of predicting recurrence and survival, (B) identified genetic drivers of liver cancer progression that are also targets for therapy, and (C) provided a molecular diagnosis of liver cancer. We have now begun to study another refractory cancer that affects the liver and bile ducts called intrahepatic cholangiocarcinoma (ICC). The causes and treatments of ICC are poorly understood and this represents an unmet medical need. The SWCRF has provided essential support that has made possible our work to treat these deadly cancers.

ALL CANCERS

Ronald M. Evans, Ph.D., *Salk Institute*

Reuben Shaw, Ph.D., *Salk Institute*

Our work supported by the Waxman Foundation is focused on genetic pathways which are a normal part of how cells regulate their metabolism, but these same genes can contribute to cancer when deregulated. Altering one of these pathways in mice causes colon cancer when exposed to cancer causing agents, but only when fed a high fat diet along with abnormal bile acid production. This leads to bacterial overgrowth and inflammation that fuels the cancer cells in the presence of a high fat diet. Another central metabolic pathway we investigated activates a metabolic switch in the cell to reprogram cell growth and metabolism when nutrients or cellular energy is limited. This pathway is altered in diverse cancers, most notably in 30% of lung cancers. We are exploring ways to target different cancer types using metabolic therapeutics based on our developing knowledge of the intricacies of the pathways.

BLOOD MALIGNANCIES

Ravi Bhatia, M.D. *City of Hope*
Brad Cairns, Ph.D. *University of Utah*
Sai-Juan Chen, M.D., Ph.D. *Shanghai Institute of Hematology*
John D. Crispino, Ph.D. *Northwestern University*
Margaret Goodell, Ph.D. *Baylor College of Medicine*
Scott Hiebert, Ph.D. *Vanderbilt University*
Yongkui Jing, Ph.D. *Mount Sinai School of Medicine*
David A. Jones, Ph.D. *University of Utah*
Ross Levine, M.D. *Memorial Sloan Kettering*
Jonathan D. Licht, M.D. *Northwestern University*
Ari Melnick, M.D. *Weill Cornell Medical Center*
Warren Pear, M.D., Ph.D. *University of Pennsylvania*
Ruibao Ren, M.D., Ph.D. *Brandeis University*
Erwin G. Van Meir, Ph.D. *Emory University School of Medicine*
Samuel Waxman, M.D. *Mount Sinai School of Medicine*
Arthur Zelen, Ph.D. *Institute of Cancer Research*

BRAIN CANCER PROGRAM

Albert S. Baldwin, Jr., Ph.D. *University of North Carolina, Chapel Hill*
Mark Lemmon, Ph.D. *University of Pennsylvania*
Kevan Shokat, Ph.D. *University of California, San Francisco*
William Weiss, Ph.D. *University of California, San Francisco*

BREAST CANCER PROGRAM

Alan Ashworth, Ph.D. *Institute of Cancer Research, London*
Albert S. Baldwin, Jr., Ph.D. *University of North Carolina, Chapel Hill*
Stephen Baylin, M.D. *Johns Hopkins University*
Robert A. Casero, Ph.D. *Johns Hopkins University*
Eduardo Farias, Ph.D. *Mount Sinai Medical Center*
Doris Germain, Ph.D. *Mount Sinai Medical Center*
Wilson Miller, M.D. *Jewish General Hospital*
Samuel Waxman, M.D. *Mount Sinai Medical Center*
Robert A. Weinberg, Ph.D. *Whitehead Institute for Biomedical Research*
Cynthia Zahnow, Ph.D. *Institute of Cancer Research*
Arthur Zelen, Ph.D. *Institute of Cancer Research, London*
Ming-Ming Zhou, Ph.D. *Mount Sinai School of Medicine*

CANCER STEM CELL PROGRAM

Julio Aguirre-Ghiso, Ph.D. *Mount Sinai Medical Center*
Nabeel Bardeesy, Ph.D. *Massachusetts General Hospital*
Stephen Baylin, M.D. *Johns Hopkins University*
Ravi Bhatia, M.D. *City of Hope*
Robert A. Casero, Ph.D. *Johns Hopkins University*
Margaret Goodell, Ph.D. *Baylor College of Medicine*
Benjamin G. Neel, M.D., Ph.D. *Ontario Cancer Institute*
Yolanda Sanchez, Ph.D. *Dartmouth School of Medicine*
Robert A. Weinberg, Ph.D. *Whitehead Institute for Biomedical Research*
Cynthia Zahnow, Ph.D. *Johns Hopkins University*

CHILDHOOD AND YOUNG ADULT CANCERS

Albert Baldwin, Jr., Ph.D. *University of North Carolina, Chapel Hill*
James E. Bradner, M.D. *Dana-Farber Cancer Institute*
Anthony Capobianco, M.D. *University of Miami*
John D. Crispino, Ph.D. *Northwestern University*
Alan Friedman, M.D. *Johns Hopkins University*
Christopher A. French, M.D. *Brigham and Women's Hospital*
Shai Izraeli, M.D. *Tel Aviv University*
Scott Hiebert, Ph.D. *Vanderbilt University*
Mark Lemmon, Ph.D. *University of Pennsylvania*
Kevan Shokat, Ph.D. *University of California, San Francisco*
Erwin G. Van Meir, Ph.D. *Emory University School of Medicine*
William Weiss, M.D., Ph.D. *University of California, San Francisco*

COLON CANCER PROGRAM

Ronald Evans, Ph.D. *Salk Institute*
Reuben Shaw, Ph.D. *Salk Institute*



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BARRIERS

LIVER CANCER PROGRAM

Stephen Baylin, M.D. *Johns Hopkins University*
Paul B. Fisher, M.Ph., Ph.D. *Virginia Commonwealth University*
Josep M. Llovet, M.D. *Mount Sinai Medical Center*
Devanand Sarkar, M.B.B.S., Ph.D. *Virginia Commonwealth University*
Samuel Waxman, M.D. *Mount Sinai Medical Center*

LUNG CANCER PROGRAM

Duane Compton, Ph.D. *Dartmouth Medical School*
Ethan Dmitrovsky, M.D. *Dartmouth School of Medicine*
Gerard Evan, Ph.D. *University of California, San Francisco*

MELANOMA PROGRAM

Paul Fisher, Ph.D. *Virginia Commonwealth University*
Neal Rosen, M.D., Ph.D. *Memorial Sloan-Kettering Cancer Center*

MOUNT SINAI MEDICAL CENTER - SWCRF LABORATORY

Emily Bernstein, Ph.D. *Mount Sinai Medical Center*
Julio Aguirre-Ghiso, Ph.D. *Mount Sinai Medical Center*
Eduardo Farias, Ph.D. *Mount Sinai Medical Center*
Doris Germain, Ph.D. *Mount Sinai Medical Center*
Yongkui Jing, M.D. *Mount Sinai Medical Center*
Boris Leibovitch, Ph.D. *Mount Sinai Medical Center*
Samuel Waxman, M.D. *Mount Sinai Medical Center*

DEVELOPING EPIGENETIC THERAPY

Stephen Baylin, M.D. *Johns Hopkins University*
Anthony Capobianco, Ph.D. *University of Miami*
Robert Casero, Ph.D. *Johns Hopkins University*
Sai-Juan Chen, Ph.D. *Shanghai Institute of Hematology*
John D. Crispino, M.D. *Northwestern University*
Ronald Evans, Ph.D. *Salk Institute*
Alan Friedman, M.D. *Johns Hopkins University*
Margaret Goodell, Ph.D., *Baylor College of Medicine*
Scott Hiebert, Ph.D. *Vanderbilt University*
Shai Izraeli, M.D. *Tel Aviv University*
Yongkui Jing, Ph.D. *Mount Sinai Medical Center*
Craig T. Jordan, Ph.D. *University of Rochester*
Jonathan D. Licht, M.D. *Northwestern University*
Alexander MacKerell, Ph.D. *University of Maryland*
Ari Melnick, M.D. *Weill Cornell School of Medicine*
Wilson Miller, M.D., Ph.D. *Jewish General Hospital*
Kevin Petrie, Ph.D. *Institute of Cancer Research, London*
Gilbert Privé, Ph.D. *University of Toronto*
Frank J. Rauscher III, Ph.D. *Wistar Institute*
Ruibao Ren, M.D., Ph.D. *Brandeis University*
Samuel Waxman, M.D. *Mount Sinai Medical Center*
Yue Ziong, Ph.D. *University of North Carolina, Chapel Hill*
Arthur Zelen, Ph.D. *Institute of Cancer Research, London*
Ming-Ming Zhou, Ph.D. *Mount Sinai School of Medicine*

OVARIAN CANCER

Benjamin G. Neel, M.D., Ph.D. *Ontario Cancer Institute*
Garry P. Nolan, Ph.D. *Stanford University School of Medicine*

PANCREATIC CANCER PROGRAM

Nabeel Bardessy, M.D. *Massachusetts General Hospital*
Paul Fisher, M.Ph., Ph.D. *Virginia Commonwealth University*

SIH/SWCRF CO-PI PROGRAM

Sai-Juan Chen, Ph.D. *Shanghai Institute of Hematology*
Zhu Chen, M.D., Ph.D. *Shanghai Institute of Hematology*
Samuel Waxman, M.D. *Mount Sinai Medical Center*
Arthur Zelen, Ph.D. *Institute of Cancer Research*

TUMOR DORMANCY PROGRAM

Julio Aguirre-Ghiso, Ph.D. *Mount Sinai Medical Center*
Eduardo Farias, Ph.D. *Mount Sinai Medical Center*



As we go to press with the 2012 annual report, the SWCRF is saddened by the death of one of its most beloved, longest and devoted donors. Even in his last days, Abby with his wife Mildred Levine took the time to write the following in support of their firm belief and commitment to the Foundation:

“We are very proud to be so strongly involved with the SWCRF for over 30 years. We endorse the Foundation’s leadership, vision, and the collaborative approach to ‘finding a cure’ which will benefit all humanity. We applaud the Foundation’s deep commitment and dedication to furthering cancer research and developing cures. We believe in the future accomplishments of the Samuel Waxman Cancer Research Foundation and plan to continue our support.”

We thank you Abby, for your continuous commitment to furthering cancer research and developing cures. You have benefited all humanity with your dedication and generosity.

THANK YOU

The following donors have shared The Samuel Waxman Cancer Research Foundation’s vision and belief in collaboration by generously funding the Foundation’s Institute without Walls in 2012.

\$250,000 & UP

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Mr. and Mrs. Michael B. Nierenberg

\$100,000 - \$199,999

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We make every effort to follow the donor's preferences when compiling the annual report list. If you would prefer to have your name listed differently in the future, please let us know. If we have made a mistake, we sincerely apologize for our error and ask you to bring it to our attention. Please contact Jay Camp at 646-398-5260 so the record may be corrected. Thank you.

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FINANCIAL STATEMENT

(July 1, 2012 - June 30, 2013 unaudited)

REVENUE

Contributions	\$ 425,000
Program Grants	1,380,000
Fundraising Income	2,990,000
Investment Income	125,000
	<hr/>
Total Revenue	4,920,000

EXPENSE

Program Services	3,737,000
Fundraising	943,000
Management and General	433,000
	<hr/>
Total Expense	5,113,000

SURPLUS/(DEFICIT) (\$ 193,000)

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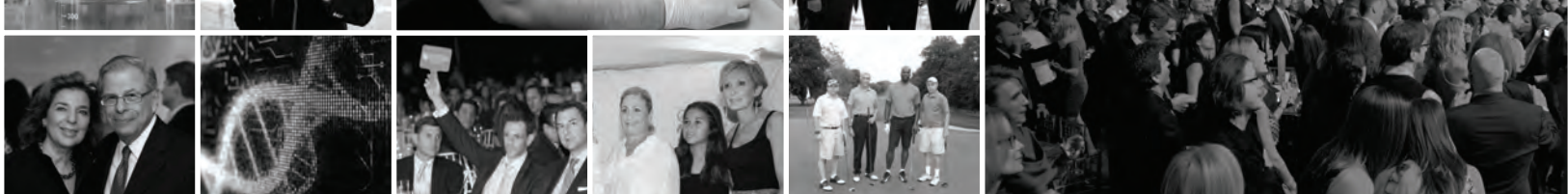
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